

## Application for Tax Paid Transfer and Registration of Firearm

ATF Control Number

**SUBMIT in DUPLICATE to:** National Firearms Act Branch  
Bureau of Alcohol, Tobacco, Firearms and Explosives, P.O. Box 530298, Atlanta, GA 30353-0298

1. Type of Transfer (Check one)  <input type="checkbox"/> \$5 <input checked="" type="checkbox"/> \$200  Submit the appropriate tax payment with the application. The tax may be paid by credit or debit card, check, or money order. Please complete item 20. Upon approval of the application, we will affix and cancel the required National Firearms Act stamp. (See instructions 2b, 2i and 3j)	2a. Transferee's Name and Address (Include trade name, if any) (See instruction 2d)  THE HIRAM PERCY MAXIM REVOCABLE TRUST 123 BASILONE WAY MAYBERRY, VA 56789  <input type="checkbox"/> INDIVIDUAL <input checked="" type="checkbox"/> TRUST or LEGAL ENTITY	2b. County CLUNNINGHAM
--	---	---------------------------

3a. Transferor's Name and Address (Include trade name, if any) (Executors: see instruction 2k)

BIG BOB'S BBQ AND GUNS  
456 DALY LANE  
PULLER, VA 56788

3b. e-mail address (optional)

3c. Transferor's Telephone (Area Code and Number)

3d. If Applicable: Decedent's Name, Address, and Date of Death

3e. Number, Street, City, State and Zip Code of Residence (or Firearms Business Premises) If  
Different from Item 3a.


The above-named and undersigned transferor hereby makes application as required by Section 5812 of the National Firearms Act to transfer and register the firearm described  
below to the transferee.

4. Description of Firearm (Complete items a through h) (See instruction 2m)			d. Model		
a. Name and Address of Maker, Manufacturer and/or Importer of Firearm  SILENCERCO, LLC WEST VALLEY CITY, UTAH	b. Type of Firearm (See definitions)	c. Caliber or Gauge	Length (Inches)	e. Of Barrel: N/A	f. Overall: 7.75"
	SILENCER	.300	g. Serial Number OMG-00000		

h. Additional Description or Data Appearing on Firearm (Attach additional sheet if necessary)

5. Transferee's Federal Firearms License (If any) (Give complete 15-digit number) (See instruction 2c)				6. Transferee's Special (Occupational) Tax Status (If any)	
First 6 digits	2 digits	2 digits	5 digits	a. Employer Identification Number	b. Class
7. Transferor's Federal Firearms License (If any)				8. Transferor's Special (Occupational) Tax Status (If any)	
First 6 digits	2 digits	2 digits	5 digits	a. Employer Identification Number	b. Class
123456	01	9M	98765	00-0000000	3

**Under Penalties of Perjury, I Declare** that I have examined this application, and to the best of my knowledge and belief it is true, correct and complete, and that the transfer of  
the described firearm to the transferee and receipt and possession of it by the transferee are not prohibited by the provisions of Title 18, United States Code; Chap 44; Title 26,  
United States Code; Chap 53, or any provisions of State or local law.

9. Signature of Transferor (Or authorized official) 	10. Name and Title of Authorized Official (Print or type) Big Bob - President	11. Date 03/08/2017
---	--	------------------------

The Space Below is for the use of the Bureau of Alcohol, Tobacco, Firearms and Explosives

By Authority of The Director, This Application Has Been Examined, and the Transfer and Registration of the Firearm  
Described Herein and the Interstate Movement of that Firearm, When Applicable to the Transferee are:

Stamp Denomination

☐ Approved (With the following conditions, if any)

☐ Disapproved (For the following reasons)

Signature of Authorized ATF Official

Date

### Transferee Certification

#### 12. Law Enforcement Notification (See instruction 2f)

The transferee is to provide notification of the proposed acquisition and possession of the firearm described on this Form 4 by providing a copy of the completed form to the chief law enforcement officer in the agency identified below:

MAYBERRY POLICE DEPARTMENT

ANDY GRIFFITH- CHIEF OF POLICE

Agency or Department Name

Name and Title of Official

789 MAYBERRY LANE, MAYBERRY, VA 56788

Address (Street address or P.O. Box, City, State and Zip Code) to which sent (mailed or delivered))

### Information for the Chief Law Enforcement Officer

This form provides notification of the transferee's intent to acquire and possess a National Firearms Act (NFA) firearm. No action on your part is required. However, should you have information that may disqualify this person from acquiring or possessing a firearm, please contact the NFA Branch at (304) 616-4500 or NFA @atf.gov. A "Yes" answer to items 14.a through 14.h or 16.a or 16.b could disqualify a person from acquiring or possessing a firearm. Also, ATF will not approve an application if the transfer or possession of the firearm is in violation of State or local law.

#### 13. Transferee Necessity Statement (See instruction 2e)

I, THE HIRAM PERCY MAXIM REVOCABLE TRUST, have a reasonable necessity to possess the machinegun, short-barreled rifle,


(Name and Title of Transferee)

short-barreled shotgun, or destructive device described on this application for the following reason(s) ALL LAWFUL PURPOSES

and my possession of the device or weapon would be consistent with public safety (18 U.S.C. § 922(b) (4) and 27 CFR § 478.98)

#### Transferee Questions (Complete Only When Transferee is An Individual)

14. Answer questions 14.a. through 14.h. Answer questions 16 through 17 if applicable. For any "Yes" answer the transferee shall provide details on a separate sheet. (See instruction 7b and definitions)

	Yes	No	15. Photograph
a. Are you under indictment or information in any court for a felony, or any other crime, for which the judge could imprison you for more than one year? (See definition 1m)		✓	<p style="text-align: center;">Affix Recent Photograph Here (Approximately 2" x 2") (See instruction 2g)</p> 
b. Have you ever been convicted in any court for a felony, or any other crime, for which the judge could have imprisoned you for more than one year, even if you received a shorter sentence including probation? (See definition 1m)		✓	
c. Are you a fugitive from justice? (See definitions 1s)		✓	
d. Are you an unlawful user of, or addicted to, marijuana or any depressant, stimulant, narcotic drug, or any other controlled substance? <b>Warning: The use or possession of marijuana remains unlawful under Federal law regardless of whether it has been legalized or decriminalized for medicinal or recreational purposes in the state where you reside.</b>		✓	
e. Have you ever been adjudicated as a mental defective OR have you ever been committed to a mental institution? (See definitions 1n and 1o)		✓	
f. Have you been discharged from the Armed Forces under dishonorable conditions?		✓	
g. Are you subject to a court order restraining you from harassing, stalking, or threatening your child or an intimate partner or child of such partner? (See definition 1p)		✓	
h. Have you ever been convicted in any court of a misdemeanor crime of domestic violence? (See definition 1q)		✓	

16a. Country of Citizenship (Check/List more than one, if applicable. Nationals of the United States may check U.S.A.) (See definition 1r)

☒ United States of America

☐ Other Country/Countries (specify): \_\_\_\_\_

	Yes	No
b. Have you ever renounced your United States citizenship?		✓
c. Are you an alien illegally or unlawfully in the United States?		✓
d.1. Are you an alien who has been admitted to the United States under a nonimmigrant visa?		✓
d.2. If "yes", do you fall within any of the exceptions stated in the instructions? Attach the documentation to the application	<input checked="" type="checkbox"/> N/A	

17. If you are an alien, record your U.S.-Issued Alien or Admission number (AR#, USCIS#, or 194#): \_\_\_\_\_

**CERTIFICATION:** Under penalties imposed by 18 U.S.C. § 924 and 26 U.S.C. § 5861, I certify that, upon submission of this form to ATF, a completed copy of this form will be directed to the chief law enforcement officer (CLEO) shown in item 12, that the statements, as applicable, contained in this certification, and any attached documents in support thereof, are true and correct to the best of my knowledge and belief. NOTE: See instructions 2.d(2) and 2.d(3) for the items to be completed depending on the type of transferee.

Hiram Maxim  
Signature of Transferee

Date

8 Mar '18

ATF Copy

18. Number of Responsible Persons (see definitions) associated with the transferee trust or legal entity TWO

19. Provide the full name (printed or typed) below for each Responsible Person associated with the applicant trust or legal entity (if there are more Responsible Persons than can be listed on the form, attach a separate sheet listing the additional Responsible Person(s)). Please note that a completed Form 5320.23, National Firearms Act (NFA) Responsible Person Questionnaire, must be submitted with the Form 4 application for each Responsible Person.

Full Name

Full Name

HIRAM PERCY MAXIM

JOHN MOSES BROWNING

20. **Method of Payment** (Check one) (See instruction 2i) (if paying by credit/debit card, complete the sections below)

☒ Check (Enclosed) ☐ Cashier's Check or Money Order (Enclosed) ☐ Visa ☐ Mastercard ☐ American Express ☐ Discover ☐ Diners Club

Credit/Debit Card Number (No dashes)

Name as Printed on the Credit/Debit Card

Expiration Date (Month & year)

Credit/Debit Card Address

Credit/Debit Card  
Billing Address:

City:

State:

Zip Code:

Total Amount:  
\$

I Authorize ATF to Charge my Credit/Debit Card the Tax Amount.

Signature of Cardholder

03/08/2017

Date

Your credit/debit card will be charged the above stated amount upon receipt of the application. The charge will be reflected on your credit/debit card statement. In the event your application is NOT approved, the above amount will be credited to the credit/debit card noted above.

#### Important Information for Currently Registered Firearms

If you are the current registrant of the firearm described on this form, please note the following information:

**Estate Procedures:** For procedures regarding the transfer of firearms in an estate resulting from the death of the registrant identified in item 2a, the executor should contact the NFA Branch, Bureau of Alcohol, Tobacco, Firearms and Explosives, 244 Needy Road, Martinsburg, WV 25405.

**Change of Address:** Unless currently licensed under the Gun Control Act, the registrant shall notify the NFA Branch, Bureau of Alcohol, Tobacco, Firearms, and Explosives, 244 Needy Road, Martinsburg, WV 25405, in writing, of any change to the address in item 2a.

**Change of Description:** The registrant shall notify the NFA Branch, Bureau of Alcohol, Tobacco, Firearms and Explosives, 244 Needy Road, Martinsburg, WV 25405, in writing, of any change to the description of the firearm(s) in item 4.

**Interstate Movement:** If the firearm identified in item 4 is a **machinegun, short-barreled rifle, short-barreled shotgun, or destructive device**, the registrant may be required by 18 U.S.C. § 922(a)(4) to obtain permission from ATF prior to any transportation in interstate or foreign commerce. ATF E-Form 5320.20 can be used to request this permission.

**Restrictions on Possession:** Any restriction (see approval block on face of form) on the possession of the firearm identified in item 4 continues with the further transfer of the firearm.

**Persons Prohibited from Possessing Firearms:** If the registrant becomes prohibited from possessing a firearm, please contact the NFA Branch for procedures on how to dispose of the firearm.

**Proof of Registration:** A person possessing a firearm registered as required by the NFA shall retain proof of registration which shall be made available to any ATF officer upon request.

#### Paperwork Reduction Act Notice

This form meets the clearance requirements of the Paperwork Reduction Act of 1995. The information you provide is used in applying to transfer serviceable firearms taxpaid. Data is used to identify transferor, transferee, and firearm, and to ensure legality for transfer under Federal, State and local laws. The furnishing of this information is mandatory (26 U.S.C. § 5812).

The estimated average burden associated with this collection of information is 3.78 hours per respondent or recordkeeper, depending on individual circumstances. Comments concerning the accuracy of this burden estimate and suggestion for reducing this burden should be addressed to Reports Management Officer, Information Technology Coordination Staff, Bureau of Alcohol, Tobacco, Firearms and Explosives, Washington, DC 20226.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

## Application for Tax Paid Transfer and Registration of Firearm

**ATF Control Number**

**SUBMIT IN DUPLICATE to:** National Firearms Act Branch  
Bureau of Alcohol, Tobacco, Firearms and Explosives, P.O. Box 530298, Atlanta, GA 30353-0298

1. Type of Transfer (Check one)  <input type="checkbox"/> \$5 <input checked="" type="checkbox"/> \$200  Submit the appropriate tax payment with the application. The tax may be paid by credit or debit card, check, or money order. Please complete item 20. Upon approval of the application, we will affix and cancel the required National Firearms Act stamp. (See instructions 2b, 2i and 3j)	2a. Transferee's Name and Address (Include trade name, if any) (See instruction 2d)  THE HIRAM PERCY MAXIM REVOCABLE TRUST 123 BASILONE WAY MAYBERRY, VA 56789  <input type="checkbox"/> INDIVIDUAL <input checked="" type="checkbox"/> TRUST or LEGAL ENTITY	2b. County CUNNINGHAM
--	---	--------------------------

3a. Transferor's Name and Address (Include trade name, if any) (Executors: see instruction 2h)

BIG BOB'S BBQ AND GUNS  
456 DALY LANE  
PULLER, VA 56788

3b. e-mail address (optional)

3c. Transferor's Telephone (Area Code and Number)

3d. If Applicable: Decedent's Name, Address, and Date of Death

3e. Number, Street, City, State and Zip Code of Residence (or Firearms Business Premises) If Different from Item 3a.

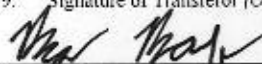
The above-named and undersigned transferor hereby makes application as required by Section 5812 of the National Firearms Act to transfer and register the firearm described below to the transferee.

4. Description of Firearm (Complete items a through h) (See instruction 2m)			d. Model		
a. Name and Address of Maker, Manufacturer and/or Importer of Firearm  SILENCERCO, LLC WEST VALLEY CITY, UTAH	b. Type of Firearm (See definitions)	c. Caliber or Gauge	OMEGA		
	SILENCER	.300	Length (Inches)	e. Of Barrel	f. Overall
				N/A	7.75"
			g. Serial Number		
			OMG-00000		

h. Additional Description or Data Appearing on Firearm (Attach additional sheet if necessary)

5. Transferee's Federal Firearms License (If any) (Give complete 15-digit number) (See instruction 2c)				6. Transferee's Special (Occupational) Tax Status (If any)	
First 6 digits	2 digits	2 digits	5 digits	a. Employer Identification Number	b. Class
7. Transferor's Federal Firearms License (If any)				8. Transferor's Special (Occupational) Tax Status (If any)	
First 6 digits	2 digits	2 digits	5 digits	a. Employer Identification Number	b. Class
123456	01	9M	98765	00-0000000	3

**Under Penalties of Perjury, I Declare** that I have examined this application, and to the best of my knowledge and belief it is true, correct and complete, and that the transfer of the described firearm to the transferee and receipt and possession of it by the transferee are not prohibited by the provisions of Title 18, United States Code; Chap 44; Title 26, United States Code; Chap 53; or any provisions of State or local law.

9. Signature of Transferor (Or authorized official) 	10. Name and Title of Authorized Official (Print or type) Big Bob - President A	11. Date 03/08/2017
---	--	------------------------

The Space Below is for the use of the Bureau of Alcohol, Tobacco, Firearms and Explosives

By Authority of The Director, This Application Has Been Examined, and the Transfer and Registration of the Firearm Described Herein and the Interstate Movement of that Firearm, When Applicable to the Transferee are:

Stamp Denomination

☐ Approved (With the following conditions, if any)

☐ Disapproved (For the following reasons)

Signature of Authorized ATF Official

Date



# **Transferee Certification**

## **12. Law Enforcement Notification (See instruction 2f)**

The transferee is to provide notification of the proposed acquisition and possession of the firearm described on this Form 4 by providing a copy of the completed form to the chief law enforcement officer in the agency identified below:

MAYBERRY POLICE DEPARTMENT

ANDY GRIFFITH- CHIEF OF POLICE

Agency or Department Name

Name and Title of Official

789 MAYBERRY LANE, MAYBERRY, VA 56788

Address (Street address or P.O. Box, City, State and Zip Code) to which sent (mailed or delivered))

## **Information for the Chief Law Enforcement Officer**

This form provides notification of the transferee's intent to acquire and possess a National Firearms Act (NFA) firearm. No action on your part is required. However, should you have information that may disqualify this person from acquiring or possessing a firearm, please contact the NFA Branch at (304) 616-4500 or NFA @atf.gov. A "Yes" answer to items 14.a through 14.h or 16.a or 16.b could disqualify a person from acquiring or possessing a firearm. Also, ATF will not approve an application if the transfer or possession of the firearm is in violation of State or local law.

## **13. Transferee Necessity Statement (See instruction 2e)**

I, THE HIRAM PERCY MAXIM REVOCABLE TRUST, have a reasonable necessity to possess the machinegun, short-barreled rifle,


(Name and Title of Transferee)

short-barreled shotgun, or destructive device described on this application for the following reason(s) ALL LAWFUL PURPOSES

and my possession of the device or weapon would be consistent with public safety (18 U.S.C. § 922(h) (4) and 27 CFR § 478.98)

## **Transferee Questions (Complete Only When Transferee is An Individual)**

14. Answer questions 14.a through 14.h. Answer questions 16 through 17 if applicable. For any "Yes" answer the transferee shall provide details on a separate sheet. (See instruction 7h and definitions)

	Yes	No	15. Photograph
a. Are you under indictment or information in any court for a felony, or any other crime, for which the judge could imprison you for more than one year? (See definition 1m)		✓	<p align="center">Affix Recent Photograph Here (Approximately 2" x 2") (See instruction 2g)</p> 
b. Have you ever been convicted in any court for a felony, or any other crime, for which the judge could have imprisoned you for more than one year, even if you received a shorter sentence including probation? (See definition 1m)		✓	
c. Are you a fugitive from justice? (See definitions 1s)		✓	
d. Are you an unlawful user of, or addicted to, marijuana or any depressant, stimulant, narcotic drug, or any other controlled substance? <b>Warning: The use or possession of marijuana remains unlawful under Federal law regardless of whether it has been legalized or decriminalized for medicinal or recreational purposes in the state where you reside.</b>		✓	
e. Have you ever been adjudicated as a mental defective <b>OR</b> have you ever been committed to a mental institution? (See definitions 1n and 1o)		✓	
f. Have you been discharged from the Armed Forces under <b>dishonorable</b> conditions?		✓	
g. Are you subject to a court order restraining you from harassing, stalking, or threatening your child or an intimate partner or child of such partner? (See definition 1p)		✓	
h. Have you ever been convicted in any court of a misdemeanor crime of domestic violence? (See definition 1q)		✓	

16a. Country of Citizenship (Check/List more than one, if applicable. Nationals of the United States may check U.S.A.) (See definition 1r)

☒ United States of America

☐ Other Country/Countries (specify): \_\_\_\_\_

	Yes	No
b. Have you ever renounced your United States citizenship?		✓
c. Are you an alien illegally or unlawfully in the United States?		✓
d.1. Are you an alien who has been admitted to the United States under a nonimmigrant visa?		✓
d.2. If "yes", do you fall within any of the exceptions stated in the instructions? Attach the documentation to the application	<input checked="" type="checkbox"/> N/A	

17. If you are an alien, record your U.S.-Issued Alien or Admission number (AR#, USCIS#, or 194#): \_\_\_\_\_

**CERTIFICATION:** Under penalties imposed by 18 U.S.C. § 924 and 26 U.S.C. § 5861, I certify that, upon submission of this form to ATF, a completed copy of this form will be directed to the chief law enforcement officer (CLEO) shown in item 12, that the statements, as applicable, contained in this certification, and any attached documents in support thereof, are true and correct to the best of my knowledge and belief. NOTE: See instructions 2.d(2) and 2.d(3) for the items to be completed depending on the type of transferee.

Hiram Maxim  
Signature of Transferee

[Signature]  
Date

18. Number of Responsible Persons (*see definitions*) associated with the transferee trust or legal entity TWO

19. Provide the full name (printed or typed) below for each Responsible Person associated with the applicant trust or legal entity (if there are more Responsible Persons than can be listed on the form, attach a separate sheet listing the additional Responsible Person(s)). Please note that a completed form 5320.23, National Firearms Act (NFA) Responsible Person Questionnaire, must be submitted with the Form 4 application for each Responsible Person.

Full Name

Full Name

IIIRAM PERCY MAXIM

JOHN MOSES BROWNING

#### Important Information for Currently Registered Firearms

If you are the current registrant of the firearm described on this form, please note the following information:

**Estate Procedures:** For procedures regarding the transfer of firearms in an estate resulting from the death of the registrant identified in item 2a, the executor should contact the NFA Branch, Bureau of Alcohol, Tobacco, Firearms and Explosives, 244 Needy Road, Martinsburg, WV 25405.

**Change of Address:** Unless currently licensed under the Gun Control Act, the registrant shall notify the NFA Branch, Bureau of Alcohol, Tobacco, Firearms, and Explosives, 244 Needy Road, Martinsburg, WV 25405, in writing, of any change to the address in item 2a.

**Change of Description:** The registrant shall notify the NFA Branch, Bureau of Alcohol, Tobacco, Firearms and Explosives, 244 Needy Road, Martinsburg, WV 25405, in writing, of any change to the description of the firearm(s) in item 4.

**Interstate Movement:** If the firearm identified in item 4 is a **machinegun, short-barreled rifle, short-barreled shotgun, or destructive device**, the registrant may be required by 18 U.S.C. § 922(a)(4) to obtain permission from ATF prior to any transportation in interstate or foreign commerce. ATF E-Form 5320.20 can be used to request this permission.

**Restrictions on Possession:** Any restriction (*see approval block on face of form*) on the possession of the firearm identified in item 4 continues with the further transfer of the firearm.

**Persons Prohibited from Possessing Firearms:** If the registrant becomes prohibited from possessing a firearm, please contact the NFA Branch for procedures on how to dispose of the firearm.

**Proof of Registration:** A person possessing a firearm registered as required by the NFA shall retain proof of registration which shall be made available to any ATF officer upon request.

#### Paperwork Reduction Act Notice

This form meets the clearance requirements of the Paperwork Reduction Act of 1995. The information you provide is used in applying to transfer serviceable firearms taxpaid. Data is used to identify transferor, transferee, and firearm, and to ensure legality for transfer under Federal, State and local laws. The furnishing of this information is mandatory (26 U.S.C. § 5812).

The estimated average burden associated with this collection of information is 3.78 hours per respondent or recordkeeper, depending on individual circumstances. Comments concerning the accuracy of this burden estimate and suggestion for reducing this burden should be addressed to Reports Management Officer, Information Technology Coordination Staff, Bureau of Alcohol, Tobacco, Firearms and Explosives, Washington, DC 20226.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

## Application for Tax Paid Transfer and Registration of Firearm

### ATF Control Number

**SUBMIT IN DUPLICATE to:** National Firearms Act Branch  
Bureau of Alcohol, Tobacco, Firearms and Explosives, P.O. Box 530298, Atlanta, GA 30353-0298

1. Type of Transfer <i>(Check one)</i>  <input type="checkbox"/> \$5 <input checked="" type="checkbox"/> \$200  Submit the appropriate tax payment with the application. The tax may be paid by credit or debit card, check, or money order. Please complete item 20. Upon approval of the application, we will affix and cancel the required National Firearms Act stamp. <i>(See instructions 2b, 2i and 3)</i>	2a. Transferee's Name and Address <i>(Include trade name, if any) (See instruction 2d)</i>  THE HIRAM PERCY MAXIM REVOCABLE TRUST 123 BASILONE WAY MAYBERRY, VA 56789  <input type="checkbox"/> INDIVIDUAL <input checked="" type="checkbox"/> TRUST or LEGAL ENTITY	2b. County CUNNINGHAM
---	--	--------------------------

3a. Transferor's Name and Address *(Include trade name, if any) (Executors: see instruction 2k)*

BIG BOB'S BBQ AND GUNS  
456 DALY LANE  
PULLER, VA 56788

3b. e-mail address *(optional)* 3c. Transferor's Telephone *(Area Code and Number)*

3d. If Applicable: Decedent's Name, Address, and Date of Death

3e. Number, Street, City, State and Zip Code of Residence *(or Firearms Business Premises)* If Different from Item 3a

The above-named and undersigned transferor hereby makes application as required by Section 5812 of the National Firearms Act to transfer and register the firearm described below to the transferee.

4. Description of Firearm <i>(Complete items a through h) (See instruction 2m)</i>			d. Model OMEGA		
a. Name and Address of Maker, Manufacturer and/or Importer of Firearm  SILENCERCO, LLC WEST VALLEY CITY, UTAH	b. Type of Firearm <i>(See definitions)</i>  SILENCER	c. Caliber or Gauge  .300	Length <i>(Inches)</i>	e. Of Barrel N/A	f. Overall 7.75"
			g. Serial Number OMG-00000		

h. Additional Description or Data Appearing on Firearm *(Attach additional sheet if necessary)*

5. Transferee's Federal Firearms License <i>(If any)</i> <i>(Give complete 15-digit number) (See instruction 2c)</i>				6. Transferee's Special (Occupational) Tax Status <i>(If any)</i>	
First 6 digits	2 digits	2 digits	5 digits	a. Employer Identification Number	b. Class
7. Transferor's Federal Firearms License <i>(If any)</i>				8. Transferor's Special (Occupational) Tax Status <i>(If any)</i>	
First 6 digits	2 digits	2 digits	5 digits	a. Employer Identification Number	b. Class
123456	01	9M	98765	00-0000000	3

**Under Penalties of Perjury, I Declare** that I have examined this application, and to the best of my knowledge and belief it is true, correct and complete, and that the transfer of the described firearm to the transferee and receipt and possession of it by the transferee are not prohibited by the provisions of Title 18, United States Code, Chap 44; Title 26, United States Code; Chap 53; or any provisions of State or local law.

9. Signature of Transferor <i>(Or authorized official)</i>	10. Name and Title of Authorized Official <i>(Print or type)</i>	11. Date 03/08/2017
--	--	------------------------

### The Space Below is for the use of the Bureau of Alcohol, Tobacco, Firearms and Explosives

By Authority of The Director, This Application Has Been Examined, and the Transfer and Registration of the Firearm Described Herein and the Interstate Movement of that Firearm, When Applicable to the Transferee are:

<input type="checkbox"/> Approved <i>(With the following conditions, if any)</i>	<input type="checkbox"/> Disapproved <i>(For the following reasons)</i>
--	---

Signature of Authorized ATF Official	Date
--------------------------------------	------



# **Transferee Certification**

## **12. Law Enforcement Notification** *(See instruction 2f)*

The transferee is to provide notification of the proposed acquisition and possession of the firearm described on this Form 4 by providing a copy of the completed form to the chief law enforcement officer in the agency identified below:

MAYBERRY POLICE DEPARTMENT ANDY GRIFFITH- CHIEF OF POLICE

Agency or Department Name Name and Title of Official

789 MAYBERRY LANE, MAYBERRY, VA 56788

Address (Street address or P.O. Box, City, State and Zip Code) to which sent (mailed or delivered))

## **Information for the Chief Law Enforcement Officer**

This form provides notification of the transferee's intent to acquire and possess a National Firearms Act (NFA) firearm. No action on your part is required. However, should you have information that may disqualify this person from acquiring or possessing a firearm, please contact the NFA Branch at (304) 616-4500 or NFA @atf.gov. A "Yes" answer to items 14 a through 14 h or 16 a or 16 b could disqualify a person from acquiring or possessing a firearm. Also, ATF will not approve an application if the transfer or possession of the firearm is in violation of State or local law.

## **13. Transferee Necessity Statement** *(See instruction 2e)*


I, THE HIRAM PERCY MAXIM REVOCABLE TRUST, have a reasonable necessity to possess the machinegun, short-barreled rifle,  
*(Name and Title of Transferee)*

short-barreled shotgun, or destructive device described on this application for the following reason(s) ALL LAWFUL PURPOSES

and my possession of the device or weapon would be consistent with public safety (18 U.S.C. § 922(b) (4) and 27 CFR § 478.98).

## **Transferee Questions** (Complete Only When Transferee is An Individual)

14 Answer questions 14 a through 14 h Answer questions 16 through 17 if applicable. For any "Yes" answer the transferee shall provide details on a separate sheet. *(See instruction 7b and definitions)*

	Yes	No	15. Photograph
a. Are you under indictment or information in any court for a felony, or any other crime, for which the judge could imprison you for more than one year? <i>(See definition 1m)</i>		✓	<p align="center">Affix Recent Photograph Here <i>(Approximately 2" x 2")</i> <i>(See instruction 2g)</i></p> 
b. Have you ever been convicted in any court for a felony, or any other crime, for which the judge could have imprisoned you for more than one year, even if you received a shorter sentence including probation? <i>(See definition 1m)</i>		✓	
c. Are you a fugitive from justice? <i>(See definitions 1s)</i>		✓	
d. Are you an unlawful user of, or addicted to, marijuana or any depressant, stimulant, narcotic drug, or any other controlled substance? <b>Warning: The use or possession of marijuana remains unlawful under Federal law regardless of whether it has been legalized or decriminalized for medicinal or recreational purposes in the state where you reside.</b>		✓	
e. Have you ever been adjudicated as a mental defective OR have you ever been committed to a mental institution? <i>(See definitions 1n and 1o)</i>		✓	
f. Have you been discharged from the Armed Forces under <b>dishonorable</b> conditions?		✓	
g. Are you subject to a court order restraining you from harassing, stalking, or threatening your child or an intimate partner or child of such partner? <i>(See definition 1p)</i>		✓	
h. Have you ever been convicted in any court of a misdemeanor crime of domestic violence? <i>(See definition 1q)</i>		✓	

16a. Country of Citizenship *(Check/List more than one, if applicable. Nationals of the United States may check U.S.A.) (See definition 1r)*

☒ United States of America

☐ Other Country/Countries *(specify)* \_\_\_\_\_

	Yes	No
b. Have you ever renounced your United States citizenship?		✓
c. Are you an alien illegally or unlawfully in the United States?		✓
d 1. Are you an alien who has been admitted to the United States under a nonimmigrant visa?		✓
d 2. If "yes", do you fall within any of the exceptions stated in the instructions? Attach the documentation to the application	<input checked="" type="checkbox"/> N/A	

17. If you are an alien, record your U.S.-Issued Alien or Admission number (AR#, USCIS#, or 194#): \_\_\_\_\_

**CERTIFICATION:** Under penalties imposed by 18 U.S.C. § 924 and 26 U.S.C. § 5861, I certify that, upon submission of this form to ATF, a completed copy of this form will be directed to the chief law enforcement officer (CLEO) shown in item 12, that the statements, as applicable, contained in this certification, and any attached documents in support thereof, are true and correct to the best of my knowledge and belief. NOTE: See instructions 2.d(2) and 2.d(3) for the items to be completed depending on the type of transferee.

Hiram Maxim  
Signature of Transferee

Date

CLEO Copy



18. Number of Responsible Persons (*see definitions*) associated with the transferee trust or legal entity TWO

19. Provide the full name (printed or typed) below for each Responsible Person associated with the applicant trust or legal entity (if there are more Responsible Persons than can be listed on the form, attach a separate sheet listing the additional Responsible Person(s)). Please note that a completed form 5320.23, National Firearms Act (NFA) Responsible Person Questionnaire, must be submitted with the Form 4 application for each Responsible Person.

Full Name

Full Name

HIRAM PERCY MAXIM

JOHN MOSES BROWNING

#### Important Information for Currently Registered Firearms

If you are the current registrant of the firearm described on this form, please note the following information.

**Estate Procedures:** For procedures regarding the transfer of firearms in an estate resulting from the death of the registrant identified in item 2a, the executor should contact the NFA Branch, Bureau of Alcohol, Tobacco, Firearms and Explosives, 244 Needy Road, Martinsburg, WV 25405

**Change of Address:** Unless currently licensed under the Gun Control Act, the registrant shall notify the NFA Branch, Bureau of Alcohol, Tobacco, Firearms, and Explosives, 244 Needy Road, Martinsburg, WV 25405, in writing, of any change to the address in item 2a.

**Change of Description:** The registrant shall notify the NFA Branch, Bureau of Alcohol, Tobacco, Firearms and Explosives, 244 Needy Road, Martinsburg, WV 25405, in writing, of any change to the description of the firearm(s) in item 4.

**Interstate Movement:** If the firearm identified in item 4 is a **machinegun, short-barreled rifle, short-barreled shotgun, or destructive device**, the registrant may be required by 18 U.S.C. § 922(a)(4) to obtain permission from ATF prior to any transportation in interstate or foreign commerce. ATF E-Form 5320.20 can be used to request this permission.

**Restrictions on Possession:** Any restriction (*see approval block on face of form*) on the possession of the firearm identified in item 4 continues with the further transfer of the firearm.

**Persons Prohibited from Possessing Firearms:** If the registrant becomes prohibited from possessing a firearm, please contact the NFA Branch for procedures on how to dispose of the firearm.

**Proof of Registration:** A person possessing a firearm registered as required by the NFA shall retain proof of registration which shall be made available to any ATF officer upon request.

#### Paperwork Reduction Act Notice

This form meets the clearance requirements of the Paperwork Reduction Act of 1995. The information you provide is used in applying to transfer serviceable firearms taxpaid. Data is used to identify transferor, transferee, and firearm, and to ensure legality for transfer under Federal, State and local laws. The furnishing of this information is mandatory (26 U.S.C. § 5812).

The estimated average burden associated with this collection of information is 3.78 hours per respondent or recordkeeper, depending on individual circumstances. Comments concerning the accuracy of this burden estimate and suggestion for reducing this burden should be addressed to Reports Management Officer, Information Technology Coordination Staff, Bureau of Alcohol, Tobacco, Firearms and Explosives, Washington, DC 20226.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

## National Firearms Act (NFA) Responsible Person Questionnaire

Complete the form in duplicate. The ATF copy of the form, with fingerprints on Form FD-258 and photograph, will be submitted with the ATF Form 1, 4, or 5 (to the address shown on the specific form) and the other copy will be directed to the responsible person's chief law enforcement officer. (See Instructions)

1. Please check the appropriate box to indicate with which ATF form this questionnaire will be submitted

☐ ATF Form 1    ☒ ATF Form 4    ☐ ATF Form 5

2. Name and Address of Applicant or Transferee (as shown on the ATF Form 1, 4 or 5) (see instruction 2)

THE HIRAM PERCY MAXIM REVOCABLE TRUST  
123 BASILONE WAY  
MAYBERRY, VA 56789

3a. Name and Home Address of Responsible Person

HIRAM PERCY MAXIM  
123 BASILONE WAY  
MAYBERRY, VA 56789

3b. Telephone (Area code and Number)

3c. e-mail address (optional)

3d. Other names used (including maiden name)

4a. Type of Firearm (see definition 5)

SILENCER

4b. Name and Address of Maker, Manufacturer and/or Importer of Firearm

SILENCERCO, LLC  
WEST VALLEY CITY, UTAH

3e. Photograph

Affix recent  
Photograph Here

(Approximately 2" x 2")  
(See instruction 3b)

4c. Firearm Model

OMEGA

4d. Caliber or Gauge

.300

4e. Firearm Serial Number

OMG-00000

5. Law Enforcement Notification (See instruction 5)

As a responsible person (see definition 4) of the trust or legal entity identified in Item 2 of this form, I am required to provide notification of the proposed making or acquisition and possession of the firearm described in item 4 of this form by providing a copy of the completed form to the chief law enforcement officer (CLEO) in the agency identified below:

MAYBERRY POLICE DEPARTMENT

ANDY GRIFFITH- CHIEF OF POLICE

Agency or Department Name

Name and Title of Official

789 MAYBERRY LANE, MAYBERRY, VA 56788

Address (Street address or P.O. Box, City, State and Zip Code) to which sent (mailed or delivered)

### Information for the Chief Law Enforcement Officer

This form provides notification of the maker or transferee's intent to make or acquire and possess a National Firearms Act (NFA) firearm. No action on your part is required. However, should you have information that may disqualify this person from making or possessing a firearm, please contact the NFA Branch at (304) 616-4500 or [NFA@atf.gov](mailto:NFA@atf.gov). A "Yes" answer to items 6h or item 7b or 7c could disqualify a person from acquiring or possessing a firearm. Also, ATF may not approve an application if the transfer or possession of the firearm would be in violation of State or local law.

6. Answer questions 6.a through 6.h. Answer questions 7 and 8 if applicable. For any "Yes" answer the transferee shall provide details on a separate sheet.  
(See definitions 8-12)

	Yes	No
a. Are you under indictment or information in any court for a felony, or any other crime, for which the judge could imprison you for more than one year? (See definition 8)		✓
b. Have you ever been convicted in any court for a felony, or any other crime, for which the judge could have imprisoned you for more than one year, even if you received a shorter sentence including probation? (See definition 8)		✓
c. Are you a fugitive from justice? (See definition 13)		✓
d. Are you an unlawful user of, or addicted to, marijuana or any depressant, stimulant, narcotic drug, or any other controlled substance? <b>Warning: The use or possession of marijuana remains unlawful under Federal law regardless of whether it has been legalized or decriminalized for medicinal or recreational purposes in the state where you reside.</b>		✓
e. Have you ever been adjudicated as a mental defective <b>OR</b> have you ever been committed to a mental institution? (See definitions 9 and 10)		✓
f. Have you been discharged from the Armed Forces under <b>dishonorable</b> conditions?		✓
g. Are you subject to a court order restraining you from harassing, stalking, or threatening your child or an intimate partner or child of such partner? (See definition 11)		✓
h. Have you ever been convicted in any court of a misdemeanor crime of domestic violence? (See definition 14)		✓

7a. Country of Citizenship: (Check/List more than one, if applicable. Nationals of the United States may check U.S.A.) (See definition 12)

☒ United States of America

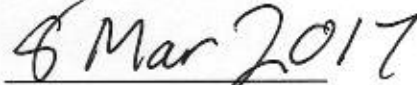
☐ Other Country/Countries (specify): \_\_\_\_\_

	Yes	No
b. Have you ever renounced your United States citizenship?		✓
c. Are you an alien illegally or unlawfully in the United States?		✓
d.1. Are you an alien who has been admitted to the United States under a nonimmigrant visa?		✓
d.2. If "yes", do you fall within any of the exceptions stated in the instructions? Attach the documentation to the questionnaire	<input checked="" type="checkbox"/> N/A	

8. If you are an alien, record your U.S. -Issued Alien or Admission number (AR#, USCIS#, or 194#): \_\_\_\_\_

**CERTIFICATION:** Under penalties imposed by 18 U.S.C. § 924 and 26 U.S.C. § 5861, I certify that, upon submission of this form to ATF, a completed copy of this form will be directed to the chief law enforcement officer (CLEO) shown in item 5, that the statements contained in this certification, and any attached documents in support thereof, are true and correct to the best of my knowledge and belief.

  
Signature of Responsible Person

  
Date

#### Instructions

- Completion: Each responsible person (see definition 4) of a trust or legal entity seeking to make or acquire a National Firearms Act (NFA) firearm shall complete this form in duplicate. (see instruction 9)
  - Each responsible person must submit his/her fingerprints and photograph with this form (see below).
  - Please note that this form is not required when the applicant on Form 1, 4 or 5 is an individual.
- Item 2- Enter the name, trade name (if any) and address of the trust or legal entity identified on the Form 1 (items 3a and b); Form 4 (item 2a); or Form 5 (item 2a)
- Item 3- Responsible Person information
  - Provide the information for the responsible person in items 3a through 3e.
  - Item 3e - Photograph: The responsible person shall attach, in item 3e on the ATF copy of the form only, a 2-inch by 2-inch frontal view photograph taken within one year prior to the date of the filing of the form. Item 3c is obscured on the CLEO copy.
- Firearm information
  - Type of NFA firearm: see definition 5 and as identified in item 4b of Form 1, 4, or 5
  - Name of maker, manufacturer and/or importer: as identified in item 4a of Form 1, 4, or 5
  - Firearm Model: identified in item 4d of Form 1, 4, or 5
  - Caliber or Gauge: identified in item 4c of Form 1, 4 or 5
  - Firearm Serial Number: identified in item 4g of Form 1, 4 or 5. Item 4e is obscured on the CLEO copy.
- Item 5- Law Enforcement Notification: Each responsible person must provide a notification on this form of the proposed making or acquisition of an NFA firearm to his/her chief law enforcement officer having jurisdiction where the responsible person is located. The chief law enforcement officer is considered to be the Chief of Police; the Sheriff, the Head of the State Police; or a State or local district attorney or prosecutor.
- Complete items 6 through 8
- Fingerprints: The responsible person shall submit, in duplicate with the ATF copy of this form, his or her fingerprints on FBI Form FD-258 and the fingerprints must be clear for accurate classification and taken by someone properly equipped to take them. No fingerprints are required with the copy of the form sent to the chief law enforcement officer.
- State or Local Permit: If the State in which the responsible person resides requires the responsible person to have a State or Local permit or license, a copy of the permit or license must be submitted with this form.
- Disposition: The ATF copy of the form, with the fingerprints and photograph, shall be submitted with the ATF Form 1, 4 or 5. The other copy shall be directed to the responsible person's chief law enforcement officer identified in item 5 of this form.
- Sign and date the form. The signature must be original.

**National Firearms Act (NFA)  
Responsible Person Questionnaire**

Complete the form in duplicate. The ATF copy of the form, with fingerprints on Form FD-258 and photograph, will be submitted with the ATF Form 1, 4, or 5 (to the address shown on the specific form) and the other copy will be directed to the responsible person's chief law enforcement officer. (See Instructions)

1. Please check the appropriate box to indicate with which ATF form this questionnaire will be submitted.

☐ ATF Form 1    ☒ ATF Form 4    ☐ ATF Form 5

2. Name and Address of Applicant or Transferee (as shown on the ATF Form 1, 4 or 5) (see instruction 2)

THE HIRAM PERCY MAXIM REVOCABLE TRUST  
123 BASILONE WAY  
MAYBERRY, VA 56789

3a. Name and Home Address of Responsible Person

HIRAM PERCY MAXIM  
123 BASILONE WAY  
MAYBERRY, VA 56789

3b. Telephone (Area code and Number)

3c. e-mail address (optional)

3d. Other names used (including maiden name)

4a. Type of Firearm (see definition 3)

SILENCER

4b. Name and Address of Maker, Manufacturer and/or Importer of Firearm

SILENCERCO, LLC  
WEST VALLEY CITY, UTAH

4c. Firearm Model

OMEGA

4d. Caliber or Gauge

.300

5. Law Enforcement Notification (See instruction 5)

As a responsible person (see definition 4) of the trust or legal entity identified in Item 2 of this form, I am required to provide notification of the proposed making or acquisition and possession of the firearm described in item 4 of this form by providing a copy of the completed form to the chief law enforcement officer (CLEO) in the agency identified below:

MAYBERRY POLICE DEPARTMENT

ANDY GRIFFITH- CHIEF OF POLICE

Agency or Department Name

Name and Title of Official

789 MAYBERRY LANE, MAYBERRY, VA 56788

Address (Street address or P.O. Box, City, State and Zip Code) to which sent (mailed or delivered)

**Information for the Chief Law Enforcement Officer**

This form provides notification of the maker or transferee's intent to make or acquire and possess a National Firearms Act (NFA) firearm. No action on your part is required. However, should you have information that may disqualify this person from making or possessing a firearm, please contact the NFA Branch at (304) 616-4500 or [NFA@atf.gov](mailto:NFA@atf.gov). A "Yes" answer to items 6b or item 7b or 7c could disqualify a person from acquiring or possessing a firearm. Also, ATF may not approve an application if the transfer or possession of the firearm would be in violation of State or local law.



6. Answer questions 6.a through 6.h. Answer questions 7 and 8 if applicable. For any "Yes" answer the transferee shall provide details on a separate sheet.  
(See definitions 8-12)

	Yes	No
a. Are you under indictment or information in any court for a felony, or any other crime, for which the judge could imprison you for more than one year? (See definition 8)		✓
b. Have you ever been convicted in any court for a felony, or any other crime, for which the judge could have imprisoned you for more than one year, even if you received a shorter sentence including probation? (See definition 8)		✓
c. Are you a fugitive from justice? (See definition 13)		✓
d. Are you an unlawful user of, or addicted to, marijuana or any depressant, stimulant, narcotic drug, or any other controlled substance? <b>Warning: The use or possession of marijuana remains unlawful under Federal law regardless of whether it has been legalized or decriminalized for medicinal or recreational purposes in the state where you reside.</b>		✓
e. Have you ever been adjudicated as a mental defective OR have you ever been committed to a mental institution? (See definitions 9 and 10)		✓
f. Have you been discharged from the Armed Forces under <b>dishonorable</b> conditions?		✓
g. Are you subject to a court order restraining you from harassing, stalking, or threatening your child or an intimate partner or child of such partner? (See definition 11)		✓
h. Have you ever been convicted in any court of a misdemeanor crime of domestic violence? (See definition 14)		✓
7a. Country of Citizenship. (Check/Last more than one, if applicable. Nationals of the United States may check U.S.A.) (See definition 12)		

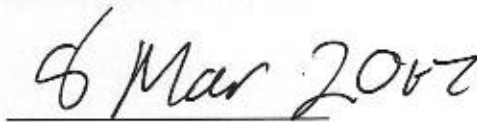
☒ United States of America

☐ Other Country/Countries (specify): \_\_\_\_\_

	Yes	No
b. Have you ever renounced your United States citizenship?		✓
c. Are you an alien illegally or unlawfully in the United States?		✓
d.1. Are you an alien who has been admitted to the United States under a nonimmigrant visa?		✓
d.2. If "yes", do you fall within any of the exceptions stated in the instructions? Attach the documentation to the questionnaire	<input checked="" type="checkbox"/> N/A	
8. If you are an alien, record your U.S. -Issued Alien or Admission number (AR#, USCIS#, or I94#): _____		

**CERTIFICATION:** Under penalties imposed by 18 U.S.C. § 924 and 26 U.S.C. § 5861, I certify that, upon submission of this form to ATF, a completed copy of this form will be directed to the chief law enforcement officer (CLEO) shown in item 5, that the statements contained in this certification, and any attached documents in support thereof, are true and correct to the best of my knowledge and belief.

  
Signature of Responsible Person

  
Date

#### Instructions

- Completion: Each responsible person (see definition 4) of a trust or legal entity seeking to make or acquire a National Firearms Act (NFA) firearm shall complete this form in duplicate. (see instruction 9)
  - Each responsible person must submit his/her fingerprints and photograph with this form (see below).
  - Please note that this form is not required when the applicant on Form 1, 4 or 5 is an individual.
- Item 2- Enter the name, trade name (if any) and address of the trust or legal entity identified on the Form 1 (items 3a and b); Form 4 (item 2a); or Form 5 (item 2a)
- Item 3- Responsible Person information
  - Provide the information for the responsible person in items 3a through 3c.
  - Item 3e - Photograph: The responsible person shall attach, in item 3e on the ATF copy of the form only, a 2-inch by 2-inch frontal view photograph taken within one year prior to the date of the filing of the form. Item 3c is obscured on the CLEO copy
- Firearm information
  - Type of NFA firearm: see definition 5 and as identified in item 4b of Form 1, 4, or 5
  - Name of maker, manufacturer and/or importer: as identified in item 4a of Form 1, 4, or 5
  - Firearm Model: identified in item 4d of Form 1, 4, or 5
  - Caliber or Gauge: identified in item 4c of Form 1, 4 or 5
  - Firearm Serial Number: identified in item 4g of Form 1, 4 or 5. Item 4e is obscured on the CLEO copy.
- Item 5- Law Enforcement Notification: Each responsible person must provide a notification on this form of the proposed making or acquisition of an NFA firearm to his/her chief law enforcement officer having jurisdiction where the responsible person is located. The chief law enforcement officer is considered to be the Chief of Police, the Sheriff, the Head of the State Police, or a State or local district attorney or prosecutor.
- Complete items 6 through 8
- Fingerprints: The responsible person shall submit, in duplicate with the ATF copy of this form, his or her fingerprints on FBI Form FD-258 and the fingerprints must be clear for accurate classification and taken by someone properly equipped to take them. No fingerprints are required with the copy of the form sent to the chief law enforcement officer.
- State or Local Permit: If the State in which the responsible person resides requires the responsible person to have a State or Local permit or licensee, a copy of the permit or license must be submitted with this form.
- Disposition: The ATF copy of the form, with the fingerprints and photograph, shall be submitted with the ATF Form 1, 4 or 5. The other copy shall be directed to the responsible person's chief law enforcement officer identified in item 5 of this form.
- Sign and date the form. The signature must be original.

## National Firearms Act (NFA) Responsible Person Questionnaire

Complete the form in duplicate. The ATF copy of the form, with fingerprints on Form FD-258 and photograph, will be submitted with the ATF Form 1, 4, or 5 (to the address shown on the specific form) and the other copy will be directed to the responsible person's chief law enforcement officer. (See Instructions)

1. Please check the appropriate box to indicate with which ATF form this questionnaire will be submitted.

☐ ATF Form 1 ☒ ATF Form 4 ☐ ATF Form 5

2. Name and Address of Applicant or Transferee (as shown on the ATF Form 1, 4 or 5) (see instruction 2)

THE HIRAM PERCY MAXIM REVOCABLE TRUST  
123 BASILONE WAY  
MAYBERRY, VA 56789

3a. Name and Home Address of Responsible Person

JOHN MOSES BROWNING  
1911 MA DEUCE DRIVE  
OGDEN, UT 55555

3b. Telephone (Area code and Number)

3c. e-mail address (optional)

3d. Other names used (including maiden name)

4a. Type of Firearm (see definition 5)

SILENCER

3e. Photograph

4b. Name and Address of Maker, Manufacturer and/or Importer of Firearm

SILENCERCO, LLC  
WEST VALLEY CITY, UTAH

Affix recent  
Photograph Here

(Approximately 2" x 2")  
(See instruction 3b)

4c. Firearm Model

OMEGA

4d. Caliber or Gauge

.300

4e. Firearm Serial Number

OMG-00000

5. Law Enforcement Notification (See instruction 5)

As a responsible person (see definition 4) of the trust or legal entity identified in Item 2 of this form, I am required to provide notification of the proposed making or acquisition and possession of the firearm described in item 4 of this form by providing a copy of the completed form to the chief law enforcement officer (CLEO) in the agency identified below:

OGDEN COUNTY SHERIFFS OFFICE

JOHN MCCLANE- SHERIFF

Agency or Department Name

Name and Title of Official

123 MARTIN RIGGS BLVD OGDEN, UT 55555

Address (Street address or P.O. Box, City, State and Zip Code) to which sent (mailed or delivered)

### Information for the Chief Law Enforcement Officer

This form provides notification of the maker or transferee's intent to make or acquire and possess a National Firearms Act (NFA) firearm. No action on your part is required. However, should you have information that may disqualify this person from making or possessing a firearm, please contact the NFA Branch at (304) 616-4500 or [NFA@atf.gov](mailto:NFA@atf.gov). A "Yes" answer to items 6h or item 7b or 7c could disqualify a person from acquiring or possessing a firearm. Also, ATF may not approve an application if the transfer or possession of the firearm would be in violation of State or local law.

6. Answer questions 6.a through 6.h. Answer questions 7 and 8 if applicable. For any "Yes" answer the transferee shall provide details on a separate sheet.  
(See definitions 8-12)

	Yes	No
a. Are you under indictment or information in any court for a felony, or any other crime, for which the judge could imprison you for more than one year? (See definition 8)		✓
b. Have you ever been convicted in any court for a felony, or any other crime, for which the judge could have imprisoned you for more than one year, even if you received a shorter sentence including probation? (See definition 8)		✓
c. Are you a fugitive from justice? (See definition 13)		✓
d. Are you an unlawful user of, or addicted to, marijuana or any depressant, stimulant, narcotic drug, or any other controlled substance? <b>Warning: The use or possession of marijuana remains unlawful under Federal law regardless of whether it has been legalized or decriminalized for medicinal or recreational purposes in the state where you reside.</b>		✓
e. Have you ever been adjudicated as a mental defective OR have you ever been committed to a mental institution? (See definitions 9 and 10)		✓
f. Have you been discharged from the Armed Forces under <b>dishonorable</b> conditions?		✓
g. Are you subject to a court order restraining you from harassing, stalking, or threatening your child or an intimate partner or child of such partner? (See definition 11)		✓
h. Have you ever been convicted in any court of a misdemeanor crime of domestic violence? (See definition 14)		✓
7a. Country of Citizenship: (Check/List more than one, if applicable. Nationals of the United States may check U.S.A.) (See definition 12)		

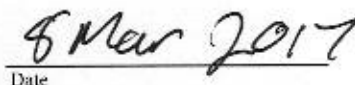
☒ United States of America

☐ Other Country/Countries (specify): \_\_\_\_\_

	Yes	No
b. Have you ever renounced your United States citizenship?		✓
c. Are you an alien illegally or unlawfully in the United States?		✓
d.1. Are you an alien who has been admitted to the United States under a nonimmigrant visa?		✓
d.2. If "yes", do you fall within any of the exceptions stated in the instructions? Attach the documentation to the questionnaire	<input checked="" type="checkbox"/> N/A	
8. If you are an alien, record your U.S. -Issued Alien or Admission number (AR#, USCIS#, or 194#): _____		

**CERTIFICATION:** Under penalties imposed by 18 U.S.C. § 924 and 26 U.S.C. § 5861, I certify that, upon submission of this form to ATF, a completed copy of this form will be directed to the chief law enforcement officer (CLEO) shown in item 5, that the statements contained in this certification, and any attached documents in support thereof, are true and correct to the best of my knowledge and belief.

  
Signature of Responsible Person

  
Date

#### Instructions

- Completion: Each responsible person (see definition 4) of a trust or legal entity seeking to make or acquire a National Firearms Act (NFA) firearm shall complete this form in duplicate. (see instruction 9)
  - Each responsible person must submit his/her fingerprints and photograph with this form (see below).
  - Please note that this form is not required when the applicant on Form 1, 4 or 5 is an individual.
- Item 2- Enter the name, trade name (if any) and address of the trust or legal entity identified on the Form 1 (items 3a and b); Form 4 (item 2a); or Form 5 (item 2a)
- Item 3- Responsible Person information
  - Provide the information for the responsible person in items 3a through 3e.
  - Item 3c - Photograph: The responsible person shall attach, in item 3c on the ATF copy of the form only, a 2-inch by 2-inch frontal view photograph taken within one year prior to the date of the filing of the form. Item 3c is obscured on the CLEO copy.
- Firearm information
  - Type of NFA firearm: see definition 5 and as identified in item 4b of Form 1, 4, or 5
  - Name of maker, manufacturer and/or importer: as identified in item 4a of Form 1, 4, or 5
  - Firearm Model: identified in item 4d of Form 1, 4, or 5
  - Caliber or Gauge: identified in item 4c of Form 1, 4 or 5
  - Firearm Serial Number: identified in item 4g of Form 1, 4 or 5. Item 4e is obscured on the CLEO copy.
- Item 5- Law Enforcement Notification: Each responsible person must provide a notification on this form of the proposed making or acquisition of an NFA firearm to his/her chief law enforcement officer having jurisdiction where the responsible person is located. The chief law enforcement officer is considered to be the Chief of Police; the Sheriff; the Head of the State Police; or a State or local district attorney or prosecutor.
- Complete items 6 through 8
- Fingerprints: The responsible person shall submit, in duplicate with the ATF copy of this form, his or her fingerprints on FBI Form FD-258 and the fingerprints must be clear for accurate classification and taken by someone properly equipped to take them. No fingerprints are required with the copy of the form sent to the chief law enforcement officer.
- State or Local Permit: If the State in which the responsible person resides requires the responsible person to have a State or Local permit or licensee, a copy of the permit or license must be submitted with this form.
- Disposition: The ATF copy of the form, with the fingerprints and photograph, shall be submitted with the ATF Form 1, 4 or 5. The other copy shall be directed to the responsible person's chief law enforcement officer identified in item 5 of this form.
- Sign and date the form. The signature must be original.

## National Firearms Act (NFA) Responsible Person Questionnaire

Complete the form in duplicate. The ATF copy of the form, with fingerprints on Form FD-258 and photograph, will be submitted with the ATF Form 1, 4, or 5 (to the address shown on the specific form) and the other copy will be directed to the responsible person's chief law enforcement officer. (See Instructions)

1. Please check the appropriate box to indicate with which ATF form this questionnaire will be submitted

☐ ATF Form 1 ☒ ATF Form 4 ☐ ATF Form 5

2. Name and Address of Applicant or Transferee (as shown on the ATF Form 1, 4 or 5) (see instruction 2)

THE HIRAM PERCY MAXIM REVOCABLE TRUST  
123 BASILONE WAY  
MAYBERRY, VA 56789

3a. Name and Home Address of Responsible Person

JOHN MOSES BROWNING  
1911 MA DEUCE DRIVE  
OGDEN, UT 55555

3b. Telephone (Area code and Number)

3c. e-mail address (optional)

3d. Other names used (including maiden name)

4a. Type of Firearm (see definition 5)

SILENCER

4b. Name and Address of Maker, Manufacturer and/or Importer of Firearm

SILENCERCO, LLC  
WEST VALLEY CITY, UTAH

4c. Firearm Model

OMEGA

4d. Caliber or Gauge

.300

5. Law Enforcement Notification (See instruction 5)

As a responsible person (see definition 4) of the trust or legal entity identified in Item 2 of this form, I am required to provide notification of the proposed making or acquisition and possession of the firearm described in item 4 of this form by providing a copy of the completed form to the chief law enforcement officer (CLEO) in the agency identified below:

OGDEN COUNTY SHERIFFS OFFICE

JOHN MCCLANE- SHERIFF

Agency or Department Name

Name and Title of Official

123 MARTIN RIGGS BLVD OGDEN, UT 55555

Address (Street address or P.O. Box, City, State and Zip Code) to which sent (mailed or delivered)

### Information for the Chief Law Enforcement Officer

This form provides notification of the maker or transferee's intent to make or acquire and possess a National Firearms Act (NFA) firearm. No action on your part is required. However, should you have information that may disqualify this person from making or possessing a firearm, please contact the NFA Branch at (304) 616-4500 or [NFA@atf.gov](mailto:NFA@atf.gov). A "Yes" answer to items 6h or item 7b or 7c could disqualify a person from acquiring or possessing a firearm. Also, ATF may not approve an application if the transfer or possession of the firearm would be in violation of State or local law.



6. Answer questions 6.a through 6.h. Answer questions 7 and 8 if applicable. For any "Yes" answer the transferee shall provide details on a separate sheet.  
(See definitions 8-12)

	Yes	No
a. Are you under indictment or information in any court for a felony, or any other crime, for which the judge could imprison you for more than one year? (See definition 8)		✓
b. Have you ever been convicted in any court for a felony, or any other crime, for which the judge could have imprisoned you for more than one year, even if you received a shorter sentence including probation? (See definition 8)		✓
c. Are you a fugitive from justice? (See definition 13)		✓
d. Are you an unlawful user of, or addicted to, marijuana or any depressant, stimulant, narcotic drug, or any other controlled substance? <b>Warning: The use or possession of marijuana remains unlawful under Federal law regardless of whether it has been legalized or decriminalized for medicinal or recreational purposes in the state where you reside.</b>		✓
e. Have you ever been adjudicated as a mental defective <b>OR</b> have you ever been committed to a mental institution? (See definitions 9 and 10)		✓
f. Have you been discharged from the Armed Forces under <b>dishonorable</b> conditions?		✓
g. Are you subject to a court order restraining you from harassing, stalking, or threatening your child or an intimate partner or child of such partner? (See definition 11)		✓
h. Have you ever been convicted in any court of a misdemeanor crime of domestic violence? (See definition 14)		✓
7a. Country of Citizenship: (Check/List more than one, if applicable. Nationals of the United States may check U.S.A.) (See definition 12)		

☒ United States of America

☐ Other Country/Countries (specify): \_\_\_\_\_

	Yes	No
h. Have you ever renounced your United States citizenship?		✓
i. Are you an alien illegally or unlawfully in the United States?		✓
d.1. Are you an alien who has been admitted to the United States under a nonimmigrant visa?		✓
d.2. If "yes", do you fall within any of the exceptions stated in the instructions? Attach the documentation to the questionnaire	<input checked="" type="checkbox"/> N/A	
8. If you are an alien, record your U.S. -Issued Alien or Admission number (AR#, USCIS#, or 194#): _____		

**CERTIFICATION:** Under penalties imposed by 18 U.S.C. § 924 and 26 U.S.C. § 5861, I certify that, upon submission of this form to ATF, a completed copy of this form will be directed to the chief law enforcement officer (CLEO) shown in item 5, that the statements contained in this certification, and any attached documents in support thereof, are true and correct to the best of my knowledge and belief.

John Beatty  
Signature of Responsible Person

5 Mar 2017  
Date

#### Instructions

- Completion: Each responsible person (see definition 4) of a trust or legal entity seeking to make or acquire a National Firearms Act (NFA) firearm shall complete this form in duplicate. (see instruction 9)
  - Each responsible person must submit his/her fingerprints and photograph with this form (see below).
  - Please note that this form is not required when the applicant on Form 1, 4 or 5 is an individual.
- Item 2- Enter the name, trade name (if any) and address of the trust or legal entity identified on the Form 1 (items 3a and b); Form 4 (item 2a), or Form 5 (item 2a)
- Item 3- Responsible Person information
  - Provide the information for the responsible person in items 3a through 3e.
  - Item 3e - Photograph: The responsible person shall attach, in item 3e on the ATF copy of the form only, a 2-inch by 2-inch frontal view photograph taken within one year prior to the date of the filing of the form. Item 3e is obscured on the CLEO copy.
- Firearm information
  - Type of NFA firearm: see definition 5 and as identified in item 4b of Form 1, 4, or 5
  - Name of maker, manufacturer and/or importer: as identified in item 4a of Form 1, 4, or 5
  - Firearm Model: identified in item 4d of Form 1, 4, or 5
  - Caliber or Gauge: identified in item 4c of Form 1, 4 or 5
  - Firearm Serial Number: identified in item 4g of Form 1, 4 or 5. Item 4e is obscured on the CLEO copy.
- Item 5- Law Enforcement Notification: Each responsible person must provide a notification on this form of the proposed making or acquisition of an NFA firearm to his/her chief law enforcement officer having jurisdiction where the responsible person is located. The chief law enforcement officer is considered to be the Chief of Police; the Sheriff; the Head of the State Police; or a State or local district attorney or prosecutor.
- Complete items 6 through 8
- Fingerprints: The responsible person shall submit, in duplicate with the ATF copy of this form, his or her fingerprints on FBI Form FD-258 and the fingerprints must be clear for accurate classification and taken by someone properly equipped to take them. No fingerprints are required with the copy of the form sent to the chief law enforcement officer.
- State or Local Permit: If the State in which the responsible person resides requires the responsible person to have a State or Local permit or licensee, a copy of the permit or license must be submitted with this form.
- Disposition: The ATF copy of the form, with the fingerprints and photograph, shall be submitted with the ATF Form 1, 4 or 5. The other copy shall be directed to the responsible person's chief law enforcement officer identified in item 5 of this form.
- Sign and date the form. The signature must be original.

CLEO Copy

ATF E-Form 5320.23  
Revised May 2016